**TU RISE Postdoctoral Fellowship Programme**

**Ethics Self-assessment Form**

This form is to be submitted as part of the application. It will not be evaluation but serves as a planning and compliance tool. Further information about ethics and obtaining authorisations at SETU can be found at [this link](https://www.setu.ie/research-innovation/researcher-support/researcher-compliance/research-ethics)

**Section 1: Ethics Table**

Guidelines

1. Please include the proposal title and complete the ethical issues table below, indicating “YES” or “NO” in the corresponding box.
2. If you answered “YES” to any of the questions below, you must provide additional information about how these issues will be addressed in your research in Section 2 Ethics Self-assessment. If you answered “NO” to all the questions, you do not need to provide any information in Section 2.
3. Delete guidelines.

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| **1. Human Embryos/Foetuses** |
| Does your activity involve Human Embryonic Stem Cells (HESCs)? | YES [ ]  / NO [ ]  |
| Does your activity involve the use of human embryos? | YES [ ]  / NO [ ]  |
| **2. Humans** |
| Does your activity involve human participants? | YES [ ]  / NO [ ]  |
| Does your activity involve interventions (physical also including imaging technology, behavioral treatments, tracking and tracing, etc.) on the study participants? | YES [ ]  / NO [ ]  |
| Does your activity involve conducting a clinical study as defined by the Clinical Trial Regulation 536/2014 (using pharmaceuticals, biologicals, radiopharmaceuticals, or advanced therapy medicinal products)? | YES [ ]  / NO [ ]  |
| **3. Human Cells /Tissues** |
| Does your activity involve the use of human cells or tissues (other than those covered by section 1)? | YES [ ]  / NO [ ]  |
| **4. Protection of Personal Data** |
| Does your activity involve processing of personal data? | YES [ ]  / NO [ ]  |
| Does your activity involve further processing of previously collected personal data (including use of pre- existing data sets or sources, merging existing data sets)? | YES [ ]  / NO [ ]  |
| Is it planned to export personal data (data transfer) from the EU to non- EU countries? | YES [ ]  / NO [ ]  |
| Is it planned to import personal data (data transfer) from non-EU countries into the EU or from a non- EU country to another non-EU country? | YES [ ]  / NO [ ]  |
| Does your activity involve the processing of personal data related to criminal convictions or offences? | YES [ ]  / NO [ ]  |
| **5. Animals** |
| Does your research involve animals? | YES [ ]  / NO [ ]  |
| **6. Non-EU Countries** |
| Will some of the activities be carried out in non-EU countries? | YES [ ]  / NO [ ]  |
| In case non-EU countries are involved, do the activities undertaken in these countries raise potential ethics issues? | YES [ ]  / NO [ ]  |
| Is it planned to use local resources (e.g. animal and/or human tissue samples, genetic material, live animals, human remains, materialsof historical value, endangered fauna or flora samples, etc.)? | YES [ ]  / NO [ ]  |
| Is it planned to import any material (other than data) from non-EU countries into the EU or from a non- EU country to another non-EU country? | YES [ ]  / NO [ ]  |
| Is it planned to export any material (other than data) from the EU to non-EU countries? | YES [ ]  / NO [ ]  |
| Does your activity involve low and/or lower-middle income countries? | YES [ ]  / NO [ ]  |
| Could the situation in the country put the individuals taking part in the activity at risk? | YES [ ]  / NO [ ]  |
| **7. Environment & Health and Safety** |
| Does this activity involve the use of substances or processes (or technologies) that may cause harm to the environment, to animals or plants (during the implementation of the activity or further to the use of the results, as a possible impact)? | YES [ ]  / NO [ ]  |
| Does this activity deal with endangered fauna and/or flora / protected areas? | YES [ ]  / NO [ ]  |
| Does this activity involve the use of substances or processes (or technologies) that may cause harm to humans, including those performing the activity (during the implementation of the activity or further to the use of the results, or the deployment of the technology as a possible impact)? | YES [ ]  / NO [ ]  |
| **8. Artificial Intelligence**  |
| Does this activity involve the development, deployment and/or use of Artificial Intelligence-based systems? | YES [ ]  / NO [ ]  |
| Could the AI based system/technique potentially stigmatise or discriminate against people? | YES [ ]  / NO [ ]  |
| Does the AI system/technique interact, replace or influence human decision-making processes? | YES [ ]  / NO [ ]  |
| Does the AI system/technique have the potential to lead to negative social? | YES [ ]  / NO [ ]  |
| Does this activity involve the use of AI in a weapon system? | YES [ ]  / NO [ ]  |
| Does the AI to be developed/used in the project raise any other ethical issues not covered in the questions above (e.g. subliminal, covert or deceptive AI, AI that is used to stimulate addictive behaviours, life-like humanoid robots, etc.) | YES [ ]  / NO [ ]  |
| **9. Other Ethics Issues**  |
| Are there any other ethics issues that should be taken into consideration?**If yes, please specify in Section 2.**  | YES [ ]  / NO [ ]  |
| **If you answered “YES” to any of the questions above, you must provide additional information about how these issues will be addressed in your research in Section 2.** |

I confirm that I have taken into account all ethics issues above and that, if any ethics issues apply, I will complete the ethics self-assessment in Section 2, below.

|  |  |
| --- | --- |
| Full Name |  |
| Title of Research Proposal |  |
| Signature |  |
| Date |  |

**Section 2: Ethics Self-Assessment**

**Guidelines (shown highlighted in grey):**

1. Only applicants who indicated potential ethical issues in Section 1 must provide additional information in this section. They should explain how they intend to address the ethical issues flagged in **2 pages maximum**. Please refer to the Horizon Europe guidance on how to complete your ethics self-assessment (Version 2.0 or later) available at:

[how-to-complete-your-ethics-self-assessment\_en.pdf (europa.eu)](https://ec.europa.eu/info/funding-tenders/opportunities/docs/2021-2027/common/guidance/how-to-complete-your-ethics-self-assessment_en.pdf)

1. You must use the following formatting constraints: Arial, at least font size 10, margins (2.0cm side, 1.5cm top and bottom), and single line spacing.
2. Delete guidelines in grey.